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FACSIMILE TRANSMITTAL SHEET

TO:	FROM:
Examiner U. Ho Group Art Unit 3731	Harry J. Macey
COMPANY:	DATE:
U.S. Patent and Trademark Office	5/6/04
FAX NUMBER:	TOTAL NO. OF PAGES INCLUDING COVER:
703-872-9306	13
PHONE NUMBER:	SENDER'S REFERENCE NUMBER:
703-306-3421	CSI-2013
RE:	YOUR REFERENCE NUMBER:
Preliminary Amendment for USSN 09/686,004	

URGENT FOR REVIEW PLEASE COMMENT PLEASE REPLY PLEASE RECYCLE

**PLEASE DELIVER TO EXAMINER HO
GROUP ART UNIT 3731**

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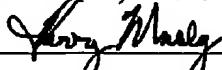
1301 SHOREWAY ROAD, SUITE 121
BELMONT, CA 94002-4106
TEL. 650.654.9555/FAX 650.654.9554

PATENT
Attorney Docket No.: CSI-2013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF TRANSMISSION BY "FACSIMILE"

I hereby certify that this correspondence and the accompanying documents are being facsimile transmitted to the United States Patent and Trademark Office, facsimile number (703) 872-9306 on May 6, 2004.



Harry Macey

In re Application of:

Nguyen, et al.

Serial No.: 09/686,004

Filing Date: October 10, 2000

Title: **MINIMALLY INVASIVE VALVE
REPAIR PROCEDURE AND APPARATUS**

Examiner: U. Ho

Group Art Unit: 3731

TRANSMITTAL

Commissioner for Patents
Alexandria, VA 22313

Sir:

Transmitted herewith are the following:

1. Fee Transmittal (1pg)
2. Preliminary Amendment (9 pgs)

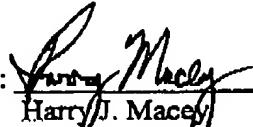
[] Authorization to charge the \$* fee for the * to Deposit Account No. * is provided on the Fee Transmittal. A duplicate copy of that document is enclosed for that purpose.

[X] No fees are believed to be due. However, the Commissioner is hereby authorized to charge any fees required by this document to Deposit Account No. 50-1947 referencing Attorney Docket No. CSI-2013.

[] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-1947, referencing Attorney Docket No. CSI-2013.

Respectfully submitted,

Date: May 6, 2004

By: 
Harry J. Macey
Reg. No. 32,848

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PTO/SB/17 (10-03)
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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 0.00)

METHOD OF PAYMENT (check all that apply)

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 Charge Any Additional Fee(s) Required under 37 C.F.R. 1.17.
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1001	770	2001	365	Utility filing fee
1002	340	2002	170	Design filing fee
1003	530	2003	285	Plant filing fee
1004	770	2004	385	Reissue filing fee
1005	160	2005	80	Provisional filing fee

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims		Fee from below	Fee Paid
Total Claims	21	22** = 22	x 9 = 0.00
Indep. Claims	4	4** = 4	x 43 = 0.00

Multiple Dependent

Large Entity	Small Entity	Fee Description
Fee Code	Fee (\$)	Fee Description
1202	18	2202 9 Claims in excess of 20
1201	88	2201 43 Independent claims in excess of 3
1203	290	2203 145 Multiple dependent claim, if not paid
1204	88	2204 43 ** Reissue independent claims over original patent
1205	18	2205 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

** or number previously paid, if greater; For Reissues, see above.

Complete if Known	
Application Number	09/888,004
Filing Date	October 10, 2000
First Named Inventor	John Nguyen
Examiner Name	U. Ho
Art Unit	3731
Attorney Docket No.	CSI-2013

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1051	130	2051	85
1052	50	2052	25
1053	130	1053	130
1812	2,520	1812	2,520
1804	920*	1804	920*
1805	1,840*	1805	1,840*
1251	110	2251	55
1252	420	2252	210
1253	950	2253	475
1254	1,480	2254	740
1255	2,010	2255	1,005
1401	330	2401	165
1402	330	2402	165
1403	290	2403	145
1451	1,510	1451	1,510
1452	110	2452	55
1453	1,330	2453	685
1501	1,330	2501	605
1502	480	2502	240
1503	840	2503	320
1406	130	1460	130
1807	50	1807	50
1808	180	1808	180
8021	40	8021	40
1809	770	2800	385
1810	770	2810	385
1801	770	2801	385
1802	800	1802	900

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00)

SUBMITTED BY					
Complete if applicable					
Name (Print/Type)	Harry J. Macey	Registration No. (Attorney/Agent)	32,818	Telephone	050-654-9555
Signature				Date	May 6, 2004

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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